

11/16/01

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A

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	IN-5525
	First Named Inventor	Charles L. TAZZIA et al.
	Filing Date	November 16, 2001
	Title	Aqueous dispersions and aqueous electrodepositable primers
	Mail Certificate	HAND DELIVER

J0371 U.S. PTO
09/987822
11/16/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 36] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="checkbox"/>]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total Sheets <input type="checkbox"/>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & document(s))**
10. ☐ **37 CFR§3.73(b) Statement** ☐ **Power Of Attorney**
(when there is an assignee)
11. ☐ **English Translation Document (if applicable)**
12. ☐ **Information Disclosure Statement (IDS)/ PTO-1449** ☐ **Copies of IDS Citations**
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503)**
(Should be specifically itemized)
15. ☐ **Certified Copy of Priority Document(s)**
(if foreign priority is claimed)
16. ☐ **other:**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP) of prior application No. 0** filed on

Prior application information:**Examiner****Group/Art Unit:**

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ **Customer Number or Bar Code Label**or ☐ **Correspondence address below****26922**

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature			Date	November 16, 2001	

FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2001	Attorney Docket No.	IN-5525
	First Named Inventor	Charles L. TAZZIA et al.
	Filing Date	November 16, 2001
	Title	Aqueous dispersions and aqueous electrodepositable primers
	Mail Certificate	HAND DELIVER

TOTAL AMOUNT OF PAYMENT	\$1,202.00
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METHOD OF PAYMENT	FEE CALCULATION
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to change indicated fees and credit any over payments to: Deposit Account Number 23-3425 Deposit Account Name BASF Corporation <input checked="" type="checkbox"/> Change Any Additional Fee <input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of 1.16 and 1.17 Allowance 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	3. ADDITION FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge -late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>provisional ailing or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>For filing request for examination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890.</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,510</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>2,060</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive-unavoidable</td><td></td></tr> <tr><td>141</td><td>1,320</td><td>Petition to revive-unintentional</td><td></td></tr> <tr><td>142</td><td>1,320</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>450</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>670</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petition to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petition related to provisional application</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent Assignment per property (time number of properties)</td><td></td></tr> <tr><td>146</td><td>790</td><td>Filing a submission after final rejection</td><td></td></tr> <tr><td>149</td><td>790</td><td>For each additional invention to be examined (37 CFR 1.129(b)) (specify)</td><td></td></tr> <tr><td>Other</td><td>fee</td><td>Reduced By Basic Fee Paid Filing</td><td>-0-</td></tr> </tbody> </table>	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	Surcharge -late filing fee or oath		127	50	provisional ailing or cover sheet		139	130	Non-English specification		147	2,520	For filing request for examination		112	920*	Requesting publication of SIR prior to Examiner action		113	1,840	Requesting publication of SIR after Examiner action		115	110	Extension for reply within first month		116	400	Extension for reply within second month		117	890.	Extension for reply within third month		118	1,510	Extension for reply within fourth month		128	2,060	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1,510	Petition to institute a public use proceeding		140	110	Petition to revive-unavoidable		141	1,320	Petition to revive-unintentional		142	1,320	Utility issue fee (or reissue)		143	450	Design issue fee		144	670	Plant issue fee		122	130	Petition to the Commissioner		123	50	Petition related to provisional application		126	240	Submission of information Disclosure Statement		581	40	Recording each patent Assignment per property (time number of properties)		146	790	Filing a submission after final rejection		149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)		Other	fee	Reduced By Basic Fee Paid Filing	-0-
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FEE CALCULATION	
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1. BASIC FILING FEE			
Large Fee Code	Entity Fee (\$)		
101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$740.00

2. EXTRA CLAIM FEES			
	Extra Claims	Fee from below	Fee Paid
Total Claims	41 - 20** = 21	x 18	= \$378.00
Independent Claims	04 - 3** = 01	x 84	= \$ 84.00
Multiple Dependent		290	= -0-
**Or number previously paid, if greater; For Reissues, see below			
Large Fee Code	Entity Fee		
103	18	Claims in excess of 20	
102	80	Independent claims in excess of 3	
104	270	Multiple dependent claims, if not paid	
109	82	**Reissue independent claims over original patent	
110	22	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$)462.00

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Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E Golota</i>			Date	November 16, 2001

PATENT

(Practitioner's Docket No. IN-5525)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

US Serial No.: Herewith

Group Art Unit: Not Assigned

Filed: November 16, 2001

Examiner: Not Assigned

For: AQUEOUS DISPERSIONS AND AQUEOUS ELECTRODEPOSITABLE PRIMERS

Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING FOR HAND DELIVER

I hereby certify that the attached correspondence comprising:

<input checked="" type="checkbox"/>	Post Card		
<input checked="" type="checkbox"/>	Utility Transmittal Cover letter	01	Page(s)
<input checked="" type="checkbox"/>	Fee Transmittal in duplicate	01	Page(s)

<input checked="" type="checkbox"/>	English Application				
Specification on	29	pages;	claims on	06	pages;
the abstract on	01	page(s);	drawings on		page(s).

is being hand deliver by Cantwell & Paxton to the Box Patent Application Commissioner for Patents, Washington, D.C. 20231.

on November _____

signature

(type or print name of person certifying)

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